

Equilibrium and ultrafast vibrational dynamics from first principles

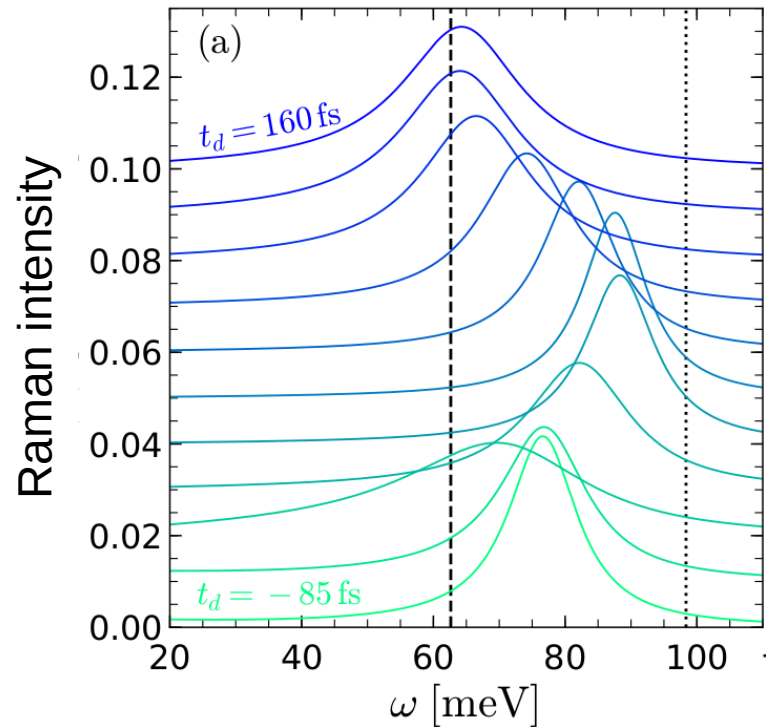
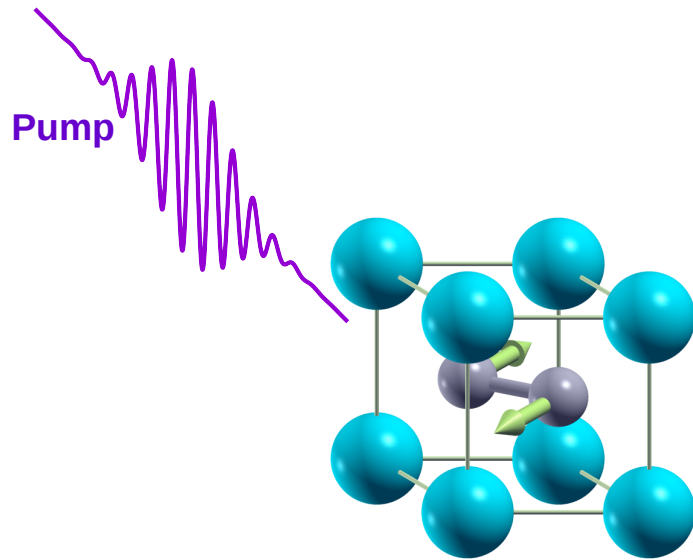
Dino Novko

Institute of Physics, Zagreb



Part I

→ Ultrafast Raman spectroscopy of MgB_2 – **equilibrium and out-of-equilibrium condition**



Nonadiabatic effects

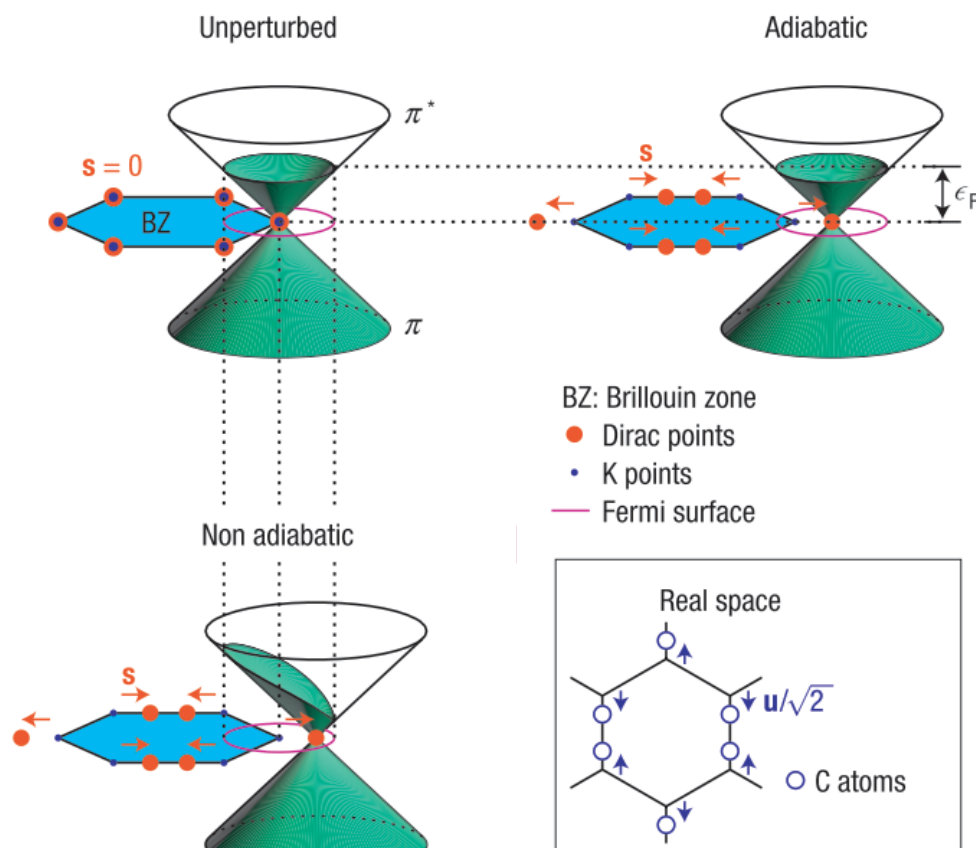
→ Nonadiabatic – dynamical effects

→ well-known examples: graphene/graphite, GIC, carbon nanotubes, MgB_2

→ Adiabatic (static) approx. – electrons remain in the instantaneous ground state

→ Nonadiabatic (dynamical) approx. – electrons “lag behind” the instantaneous ground state

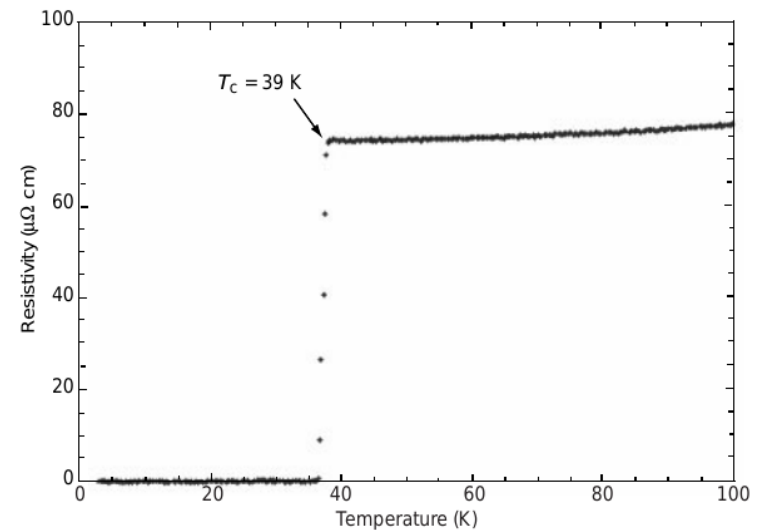
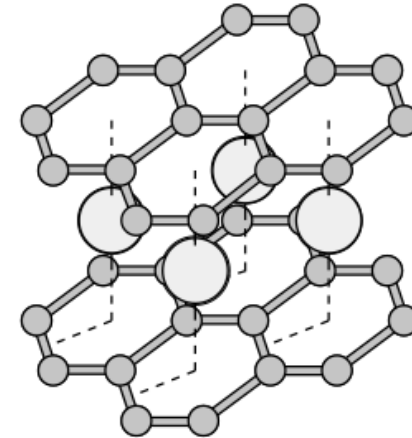
Graphene and E_{2g} phonon



S. Pisana *et al.*, Nature Mater. **6**, 198 2007

MgB₂ - Motivation

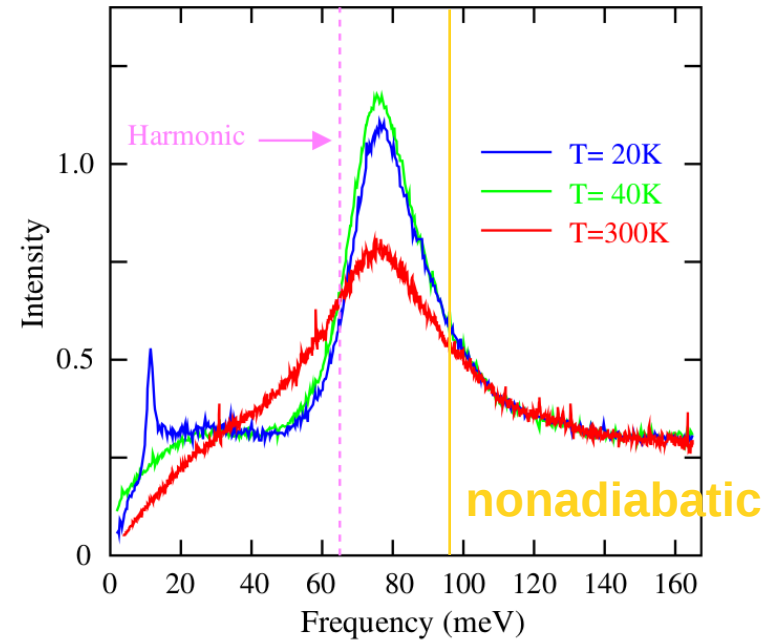
- B atoms arranged in hexagonal layered structure
- Superconductive state below $T_c = 39$ K
- Conventional or unconventional phonon-mediated superconductivity?
- Exp. : $\lambda = 0.6$, $T_c = 39$ K
Theory : $\lambda > 0.7$, $T_c > 50$ K
- Anharmonicity? Nonadiabatic superconductivity? ... ?



J. Nagamatsu *et al.*, Nature **410**, 63 (2001)

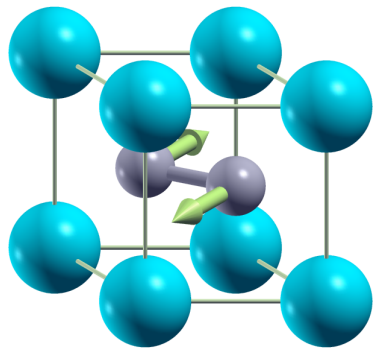
MgB₂ - Motivation

- Strong EPC between E_{2g} mode and σ electrons
- Anomalous Raman spectrum for E_{2g} mode – adiabatic and nonadiabatic theory fail
- Temperature dependence and large broadening of E_{2g} mode – anharmonicity?



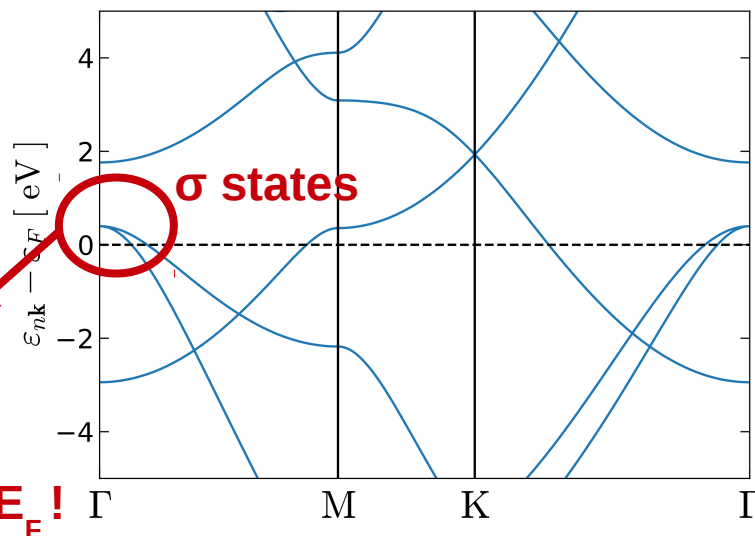
J. W. Quilty *et al.*, PRL **88**, 087001 (2002)

E_{2g} phonon mode



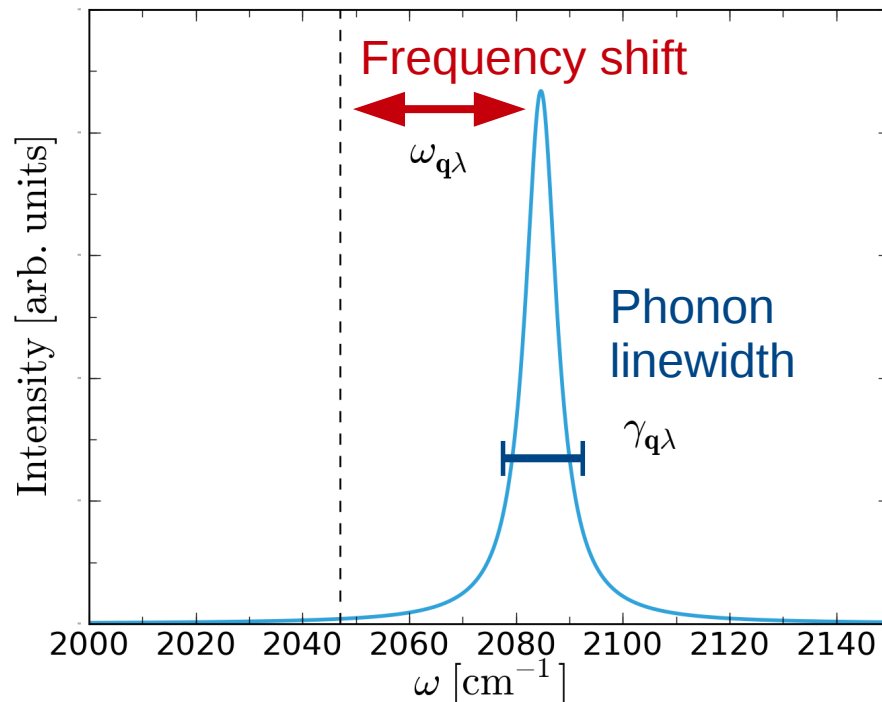
Strong EPC !

Small E_F !



Phonon self-energy

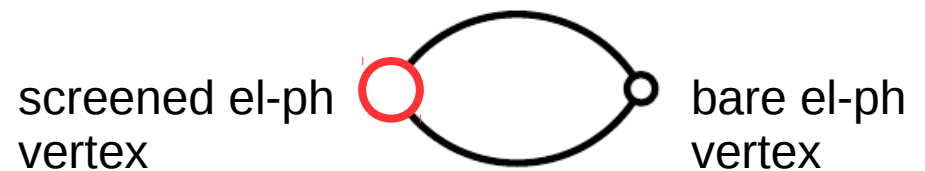
- Understanding the relaxation processes of vibrating molecules on metal surfaces
- **Phonon self-energy using many body perturbation theory**
 - [Phonon linewidth](#) and [frequency renormalization](#) due to electron-phonon coupling



$$\underline{\gamma_{\mathbf{q}\lambda} = -2\text{Im}\Pi_{\lambda}(\mathbf{q}, \omega_{\mathbf{q}\lambda})}$$

$$\underline{\omega^2 = \omega_{\mathbf{q}\lambda}^2 + 2\omega_{\mathbf{q}\lambda}\text{Re}\Pi_{\lambda}(\mathbf{q}, \omega)}$$

$$\Pi_{\lambda}(\omega) = \Pi_{\lambda}^{\text{intra}}(\omega) + \Pi_{\lambda}^{\text{inter}}(\omega)$$



Phonon self-energy

- Understanding the relaxation processes of vibrating molecules on metal surfaces
- **Phonon self-energy using many body perturbation theory**
 - Phonon linewidth and frequency renormalization due to electron-phonon coupling
- Dynamical matrix

$$\mathcal{D}(\omega) = \sum_{\mu\mu'\mathbf{k}} \frac{(f_{\mu\mathbf{k}} - f_{\mu'\mathbf{k}})d_{\mu\mu',\nu}^*(\mathbf{k}, 0, \omega)d_{\mu\mu',\nu}^b(\mathbf{k}, 0)}{\omega + i\eta + \varepsilon_{\mu\mathbf{k}} - \varepsilon_{\mu'\mathbf{k}}} + \int d\mathbf{r} n(\mathbf{r}) \Delta^2 V_{\text{ion}}(\mathbf{r})$$

Phonon self-energy
contribution

Bare (ionic)
contribution

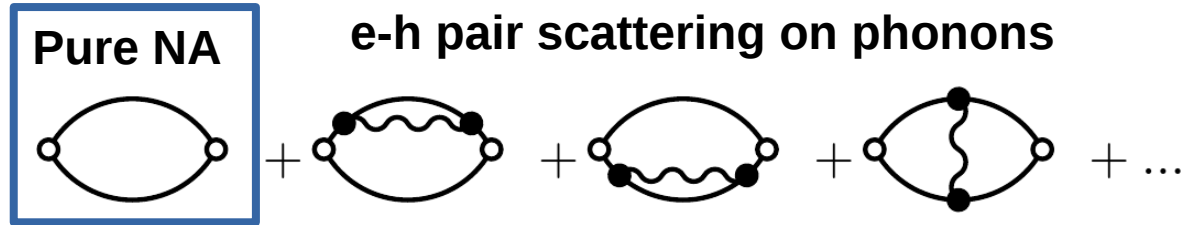
→ Adiabatic contribution:

$$\omega_{\text{A}}^2 = \mathcal{D}(0)/M$$

Electron-hole pair scattering on phonons

→ Eliashberg function – $\lambda = 0.6$

→ Electron-hole pair lifetime and energy renormalization effects
– $\gamma_{ep}(\omega)$ and $\omega\lambda_{ep}(\omega)$

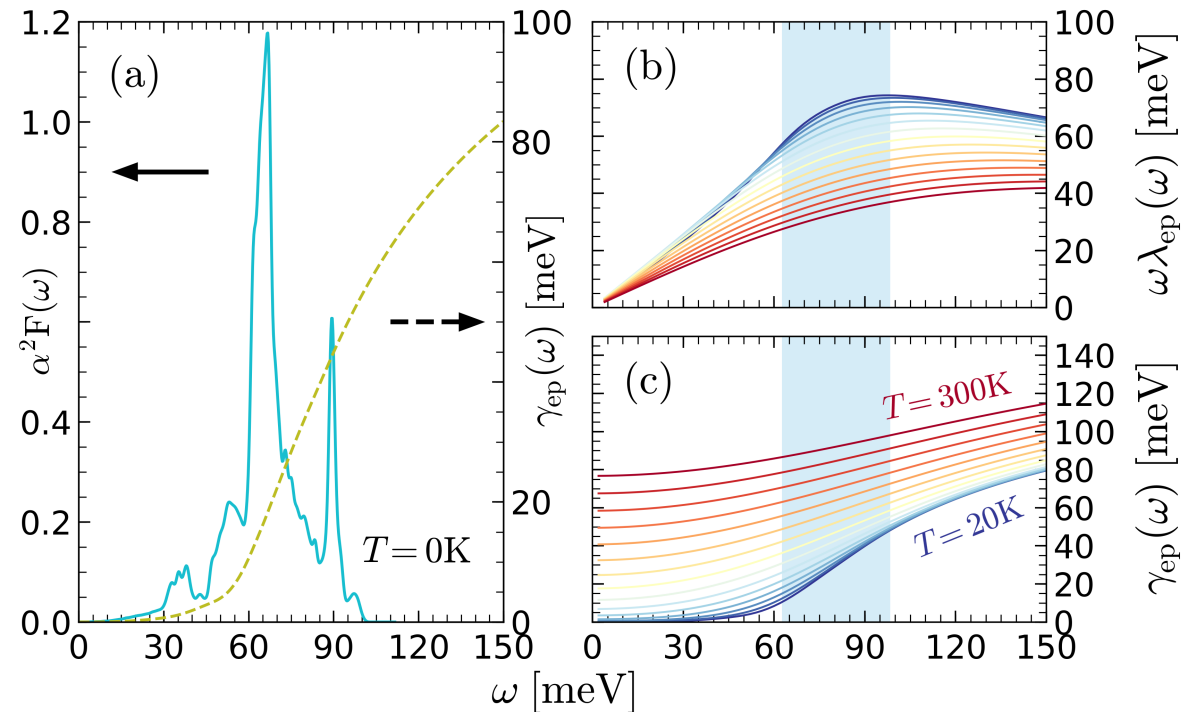


Pure NA

$$\pi_v^{0,intra}(\omega) = \sum_{\mu\mathbf{k}} |g_v^{\mu\mu}(\mathbf{k}, 0)|^2 \left[-\frac{\partial f(\varepsilon_{\mu\mathbf{k}})}{\partial \varepsilon_{\mu\mathbf{k}}} \right]$$

NA with e-h pair scattering

$$\pi_v^{intra}(\omega) = \sum_{\mu\mathbf{k}} |g_v^{\mu\mu}(\mathbf{k}, 0)|^2 \left[-\frac{\partial f(\varepsilon_{\mu\mathbf{k}})}{\partial \varepsilon_{\mu\mathbf{k}}} \right] \times \frac{\omega}{\omega[1 + \lambda_n(\omega)] + i/\tau_n(\omega)}$$

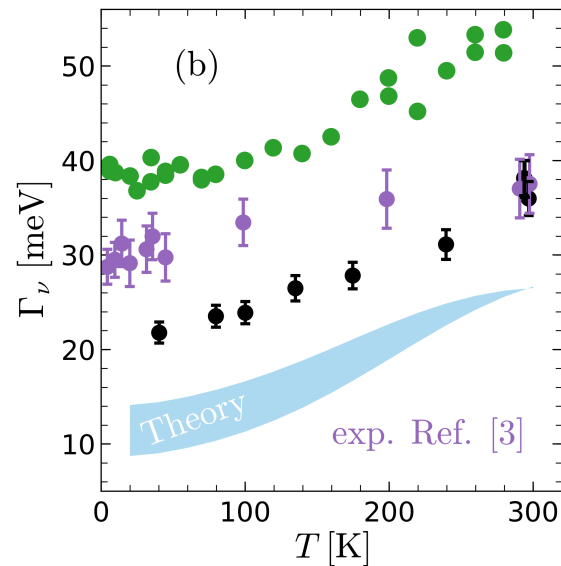
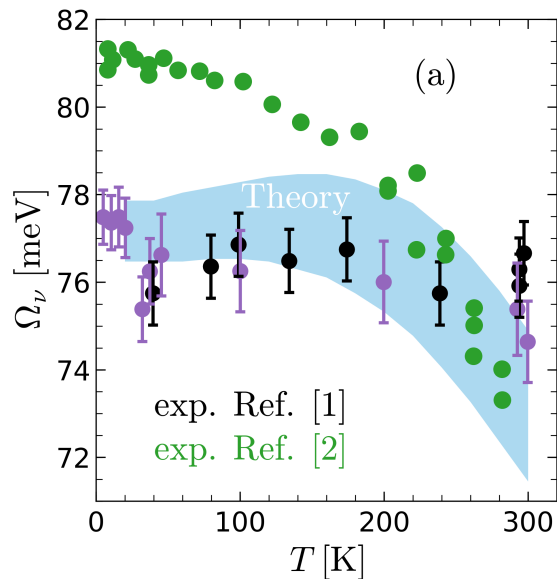
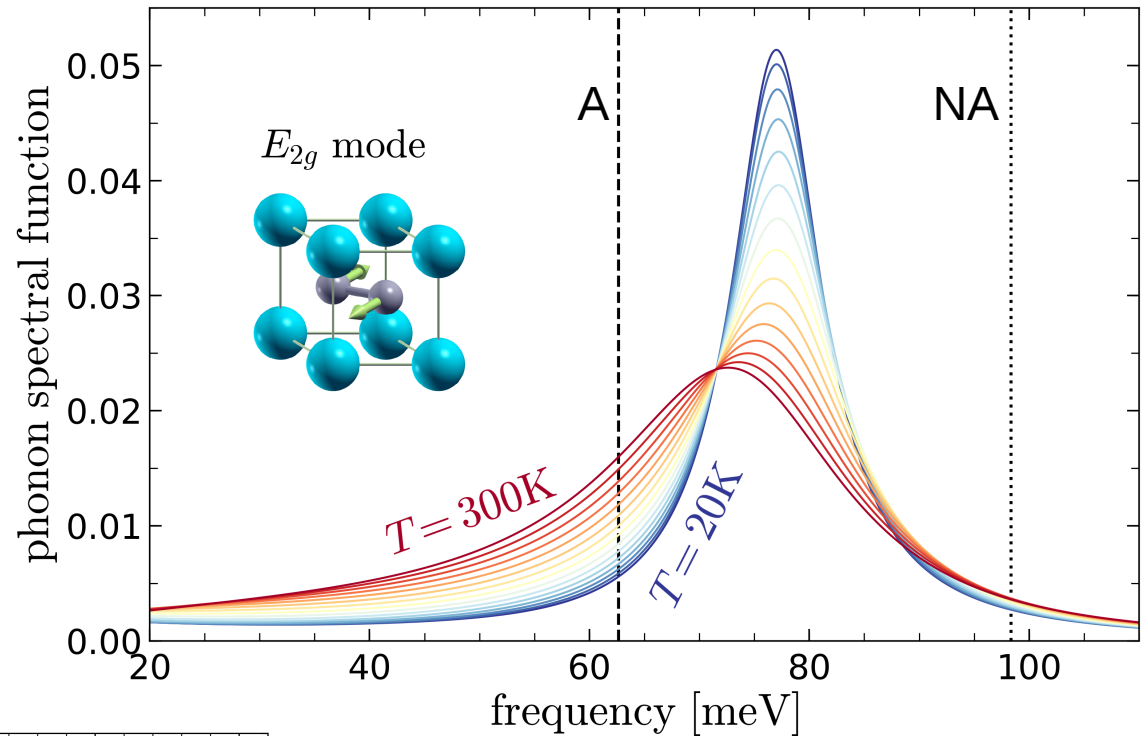


E. Cappelluti PRB **73**, 140505(R) (2006)

D. Novko, PRB **98**, 041112(R) (2018)

Frequency and linewidth of E_{2g} mode

- Phonon spectral function of the E_{2g} mode
- Strong temperature dependence of phonon frequency and linewidth
- Good agreement with the Raman measurements



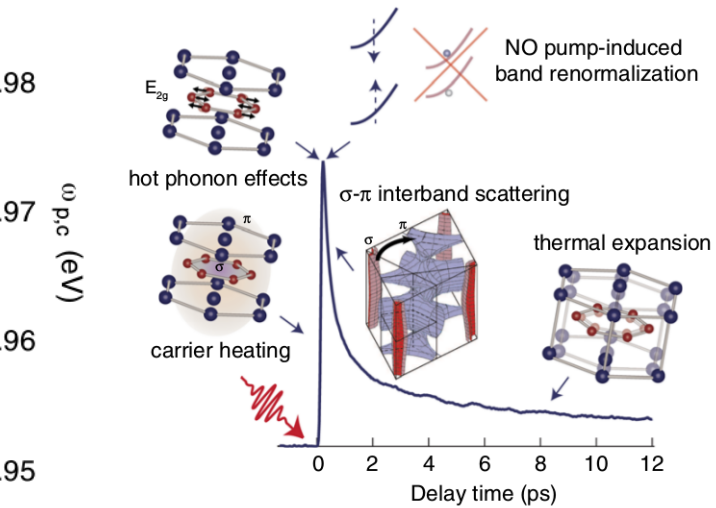
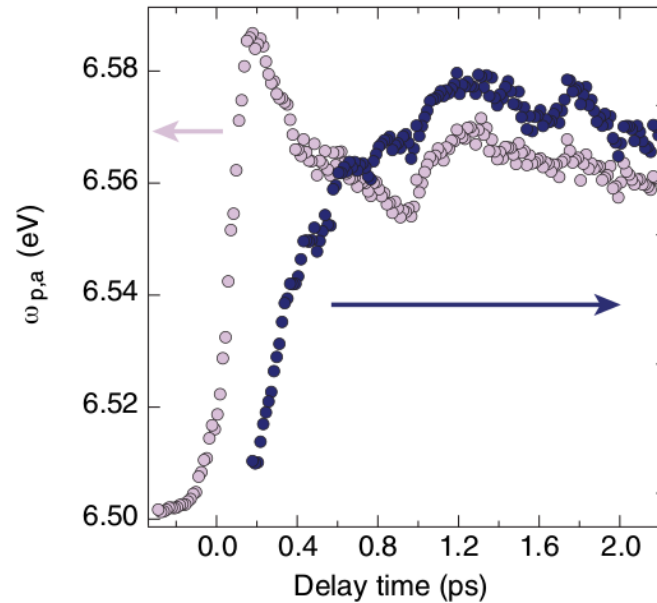
[1] Yu. S. Ponosov and S. V. Streltsov PRB **96**, 214503 (2017)

[2] M. d'Astuto *et al.*, PRB **75**, 174508 (2007)

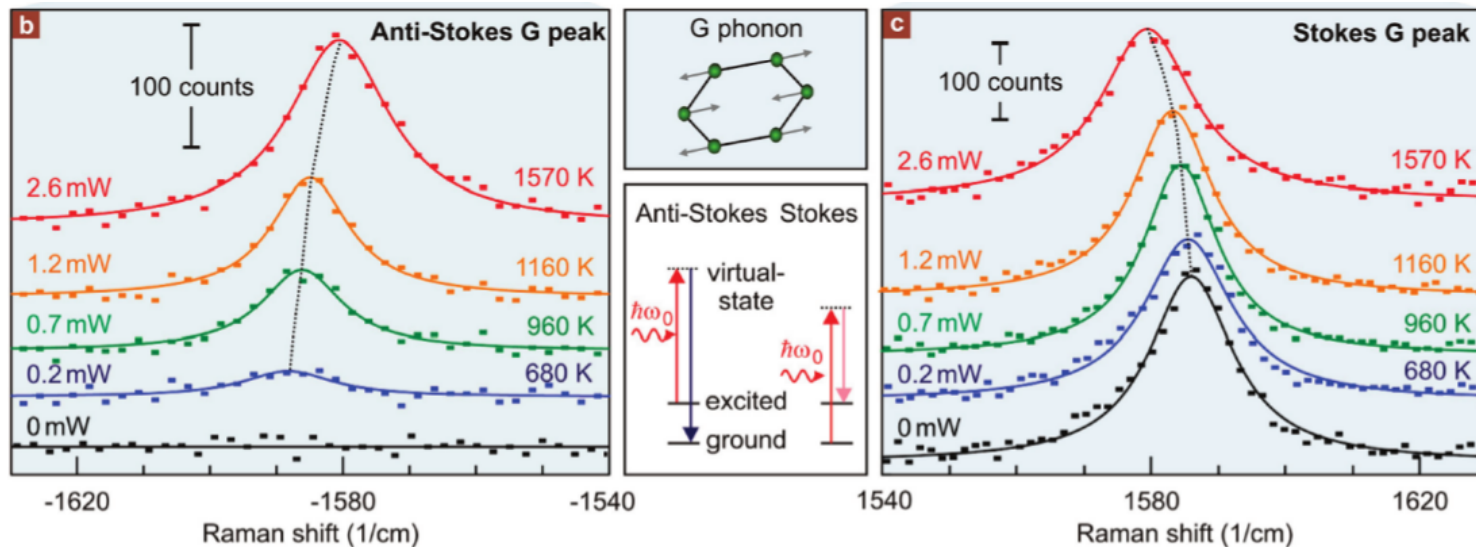
[3] P. M. Rafailov, M. Dworzak, and C. Thomsen, Solid State Commun. **122** 455 (2002)

Transient response - Motivation

- Transient reflectivity measurements – hot E_{2g} phonon scenario
- In analogy with the hot G phonon in graphene



E. Baldini *et al.*, PRL **119**, 097002 (2017)



D.-H. Chae *et al.*, Nano Lett., **10**, 466 (2010)

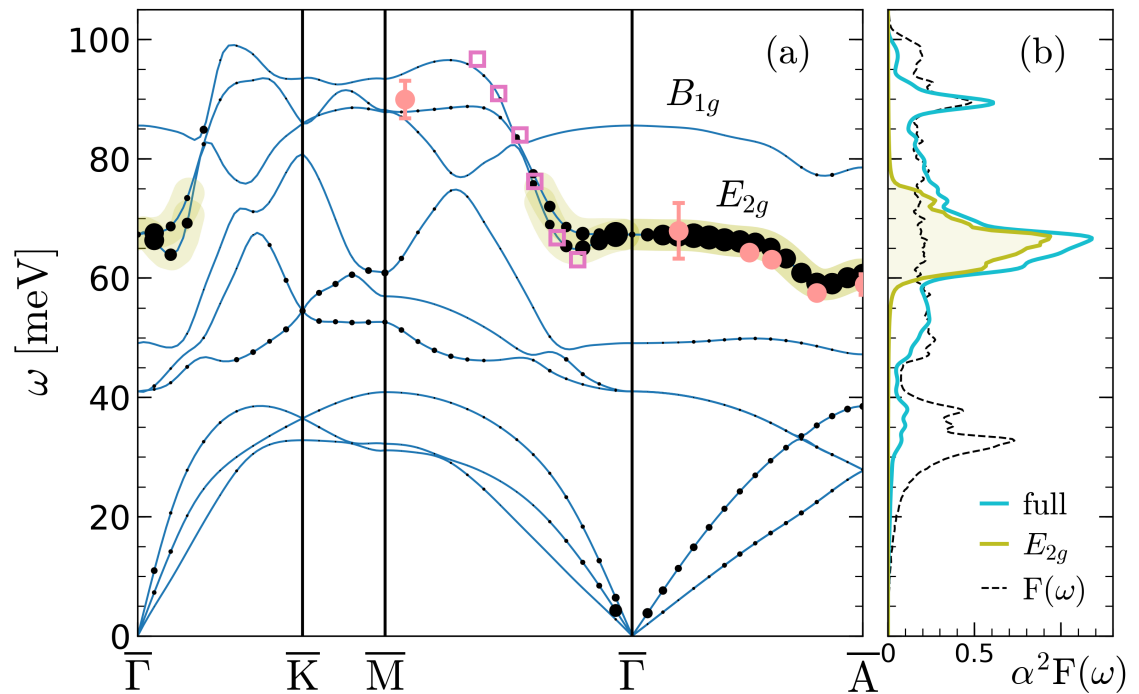
Hot phonons in MgB_2

→ EPC strength λ along symmetry points (size of black circles)

→ Total $\lambda = 0.6$ – good agreement with the experiments

→ **Hot phonons:** E_{2g} modes around Γ and along Γ -A path of 1BZ

→ $\lambda_{\text{hot}} = 0.32$



Exp: purple and red points

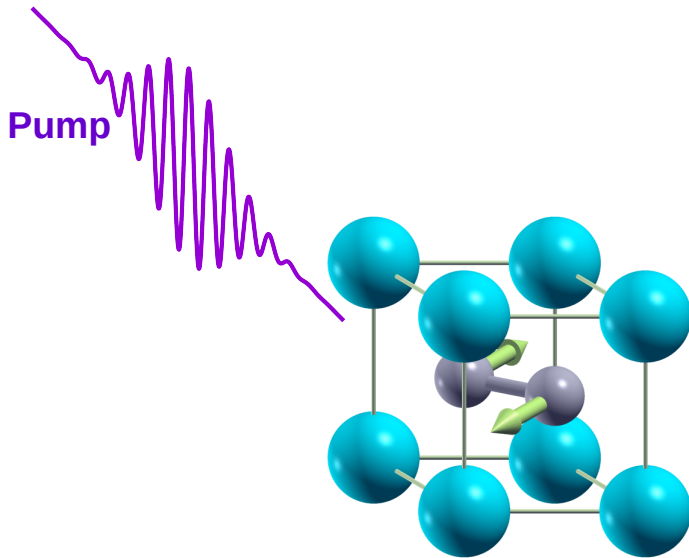
A.Q.R. Baron *et al.*, PRL **92**, 197004 (2004)

A. Shukla *et al.*, PRL **90**, 095506 (2003)

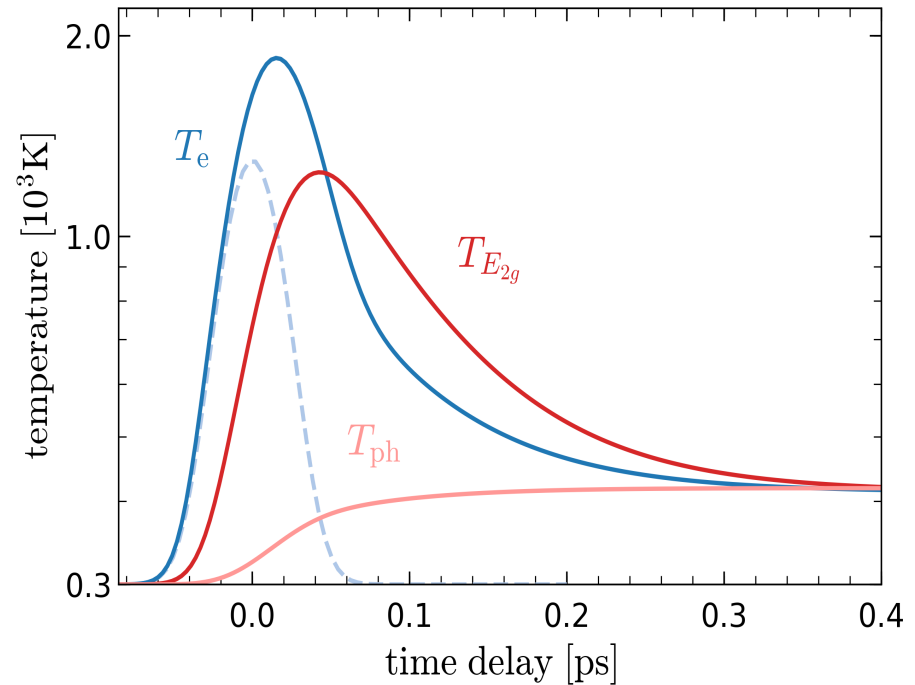
→ Pure **anisotropy** of the EPC - different hot phonon scenario than in graphene-based systems and semiconductors (reduced phase space)

Transient response

→ Three subsystems - electrons, hot E_{2g} phonons, and remnant cold modes



→ Three temperature model – electrons T_e , hot phonons $T_{E_{2g}}$, and T_{ph}

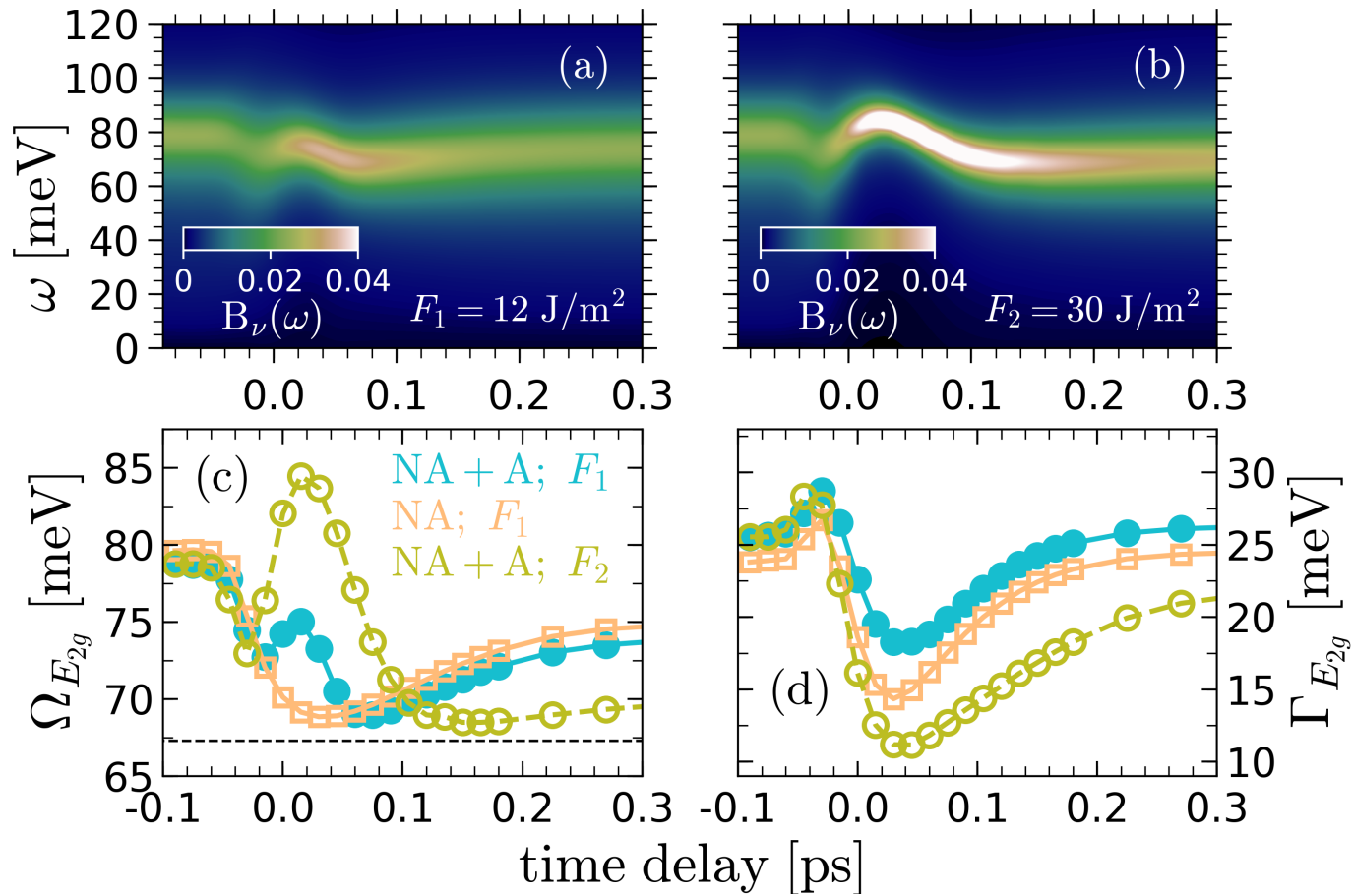


$$C_e \frac{\partial T_e}{\partial t} = S(z, t) + \nabla_z (\kappa \nabla_z T_e) - G_{E_{2g}} (T_e - T_{E_{2g}}) - G_{ph} (T_e - T_{ph}),$$

$$C_{E_{2g}} \frac{\partial T_{E_{2g}}}{\partial t} = G_{E_{2g}} (T_e - T_{E_{2g}}) - C_{E_{2g}} \frac{T_{E_{2g}} - T_{ph}}{\tau_0},$$

$$C_{ph} \frac{\partial T_{ph}}{\partial t} = G_{ph} (T_e - T_{ph}) + C_{E_{2g}} \frac{T_{E_{2g}} - T_{ph}}{\tau_0}.$$

Transient response



→ Ultrafast Raman spectroscopy of MgB_2

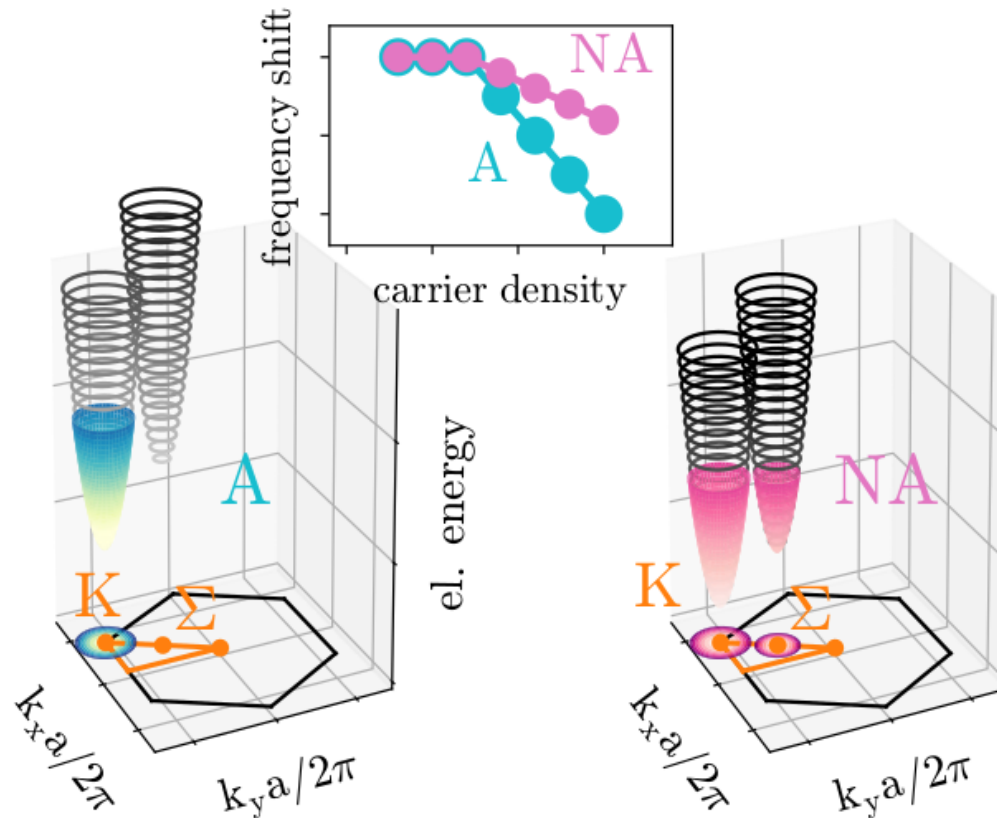
→ Frequency change – competition between **redshift** and **blueshift**

Adiabatic contribution,
dependent on T_e

Nonadiabatic contribution,
dependent on $T_{E_{2g}}$

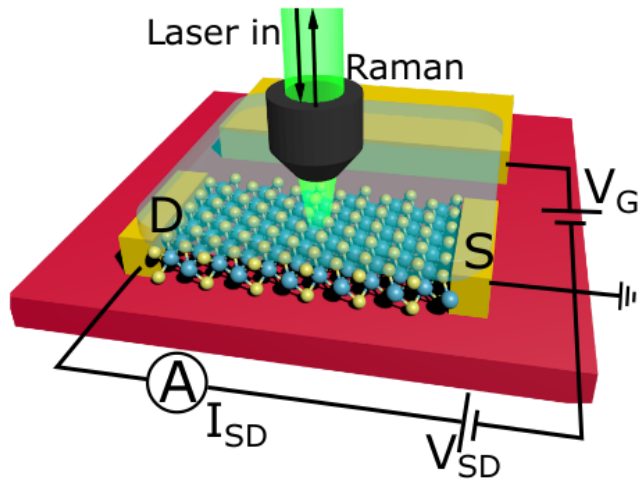
Part II

→ Breakdown of adiabaticity in doped single-layer transition metal dichalcogenides

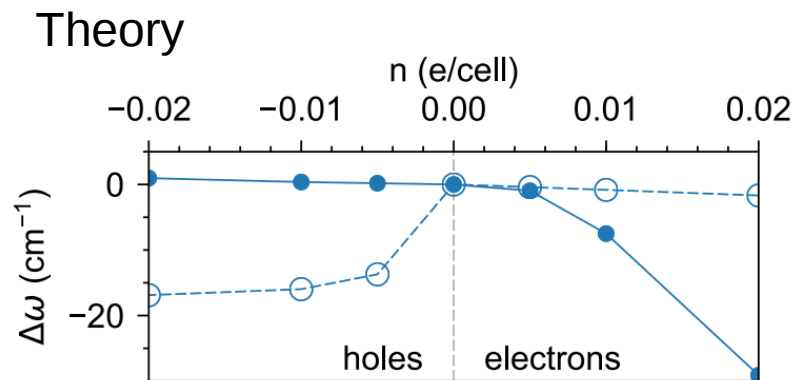
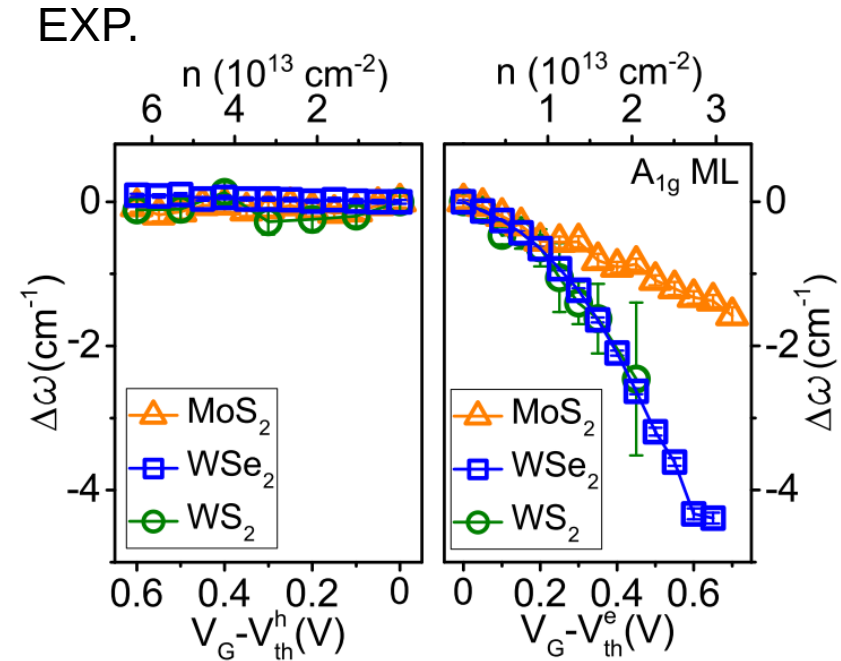
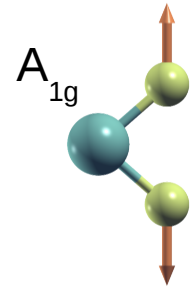


Motivation

- Raman spectroscopy of single-layer TMD transistors
- Adiabatic DFT largely overestimates the experimental Raman shifts

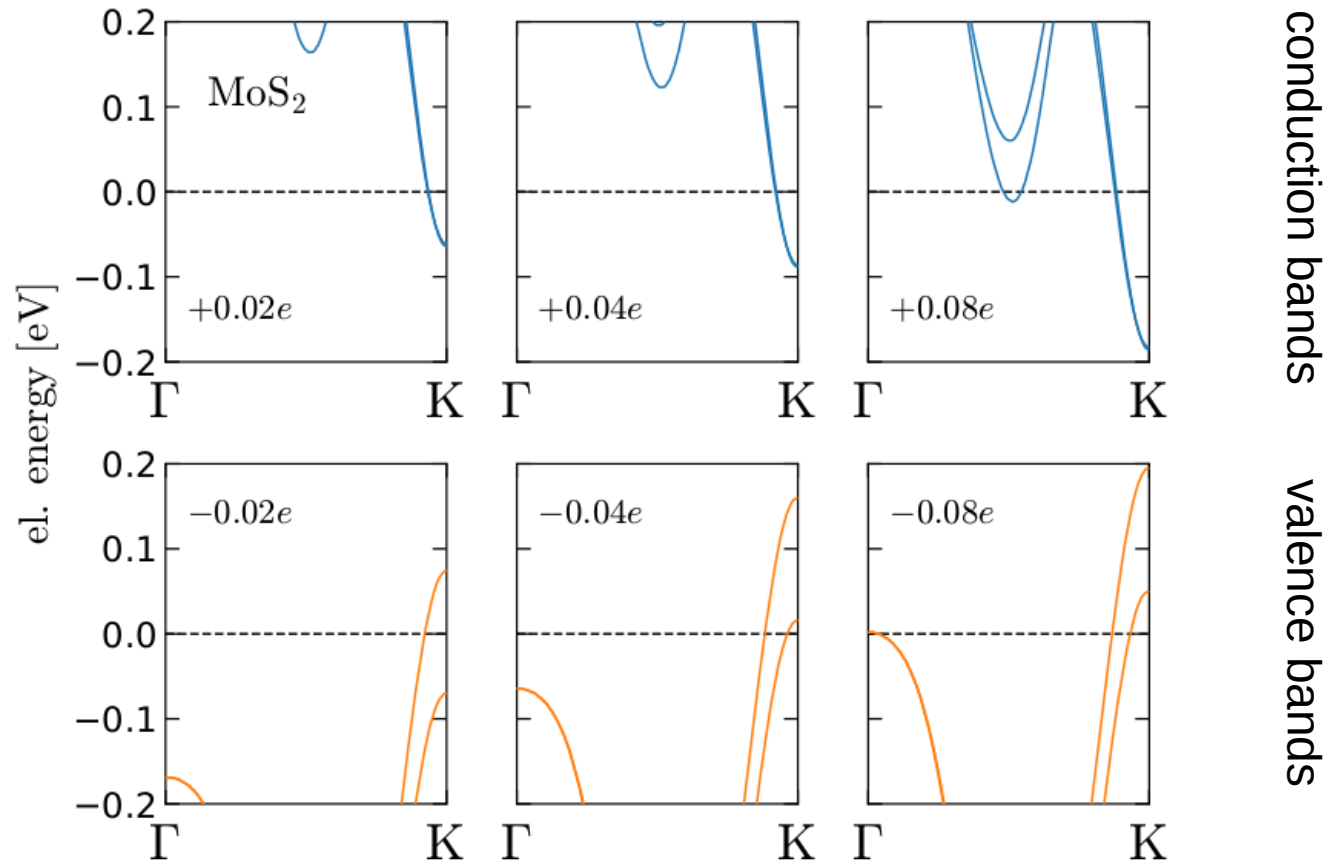


T. Sohler *et al.*, Phys. Rev. X **9**, 031019 (2019)



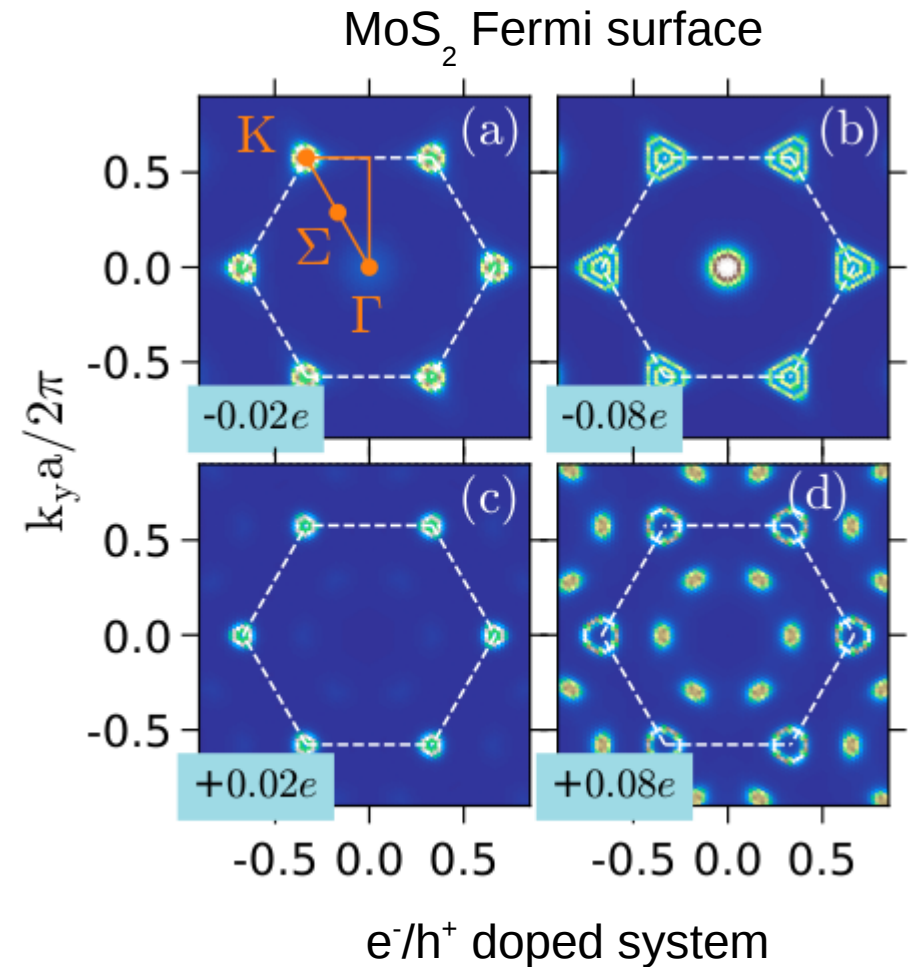
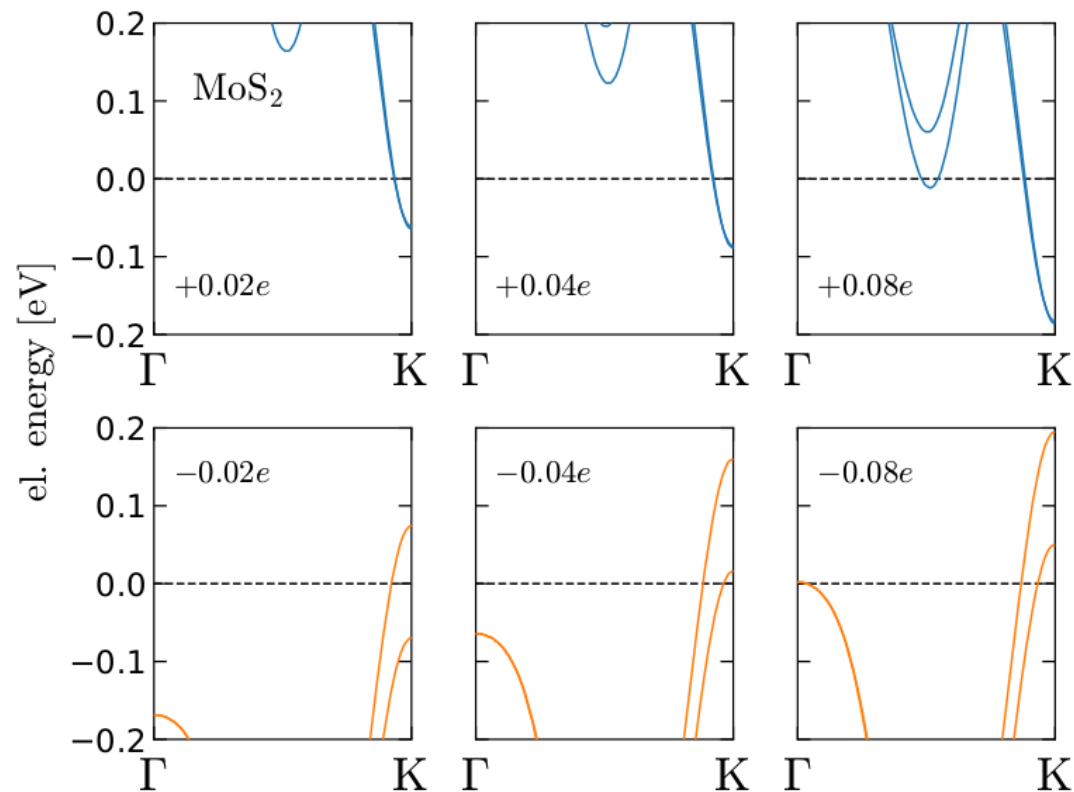
Electronic band structure

→ multiple valleys in both valence and conduction bands



Electronic band structure

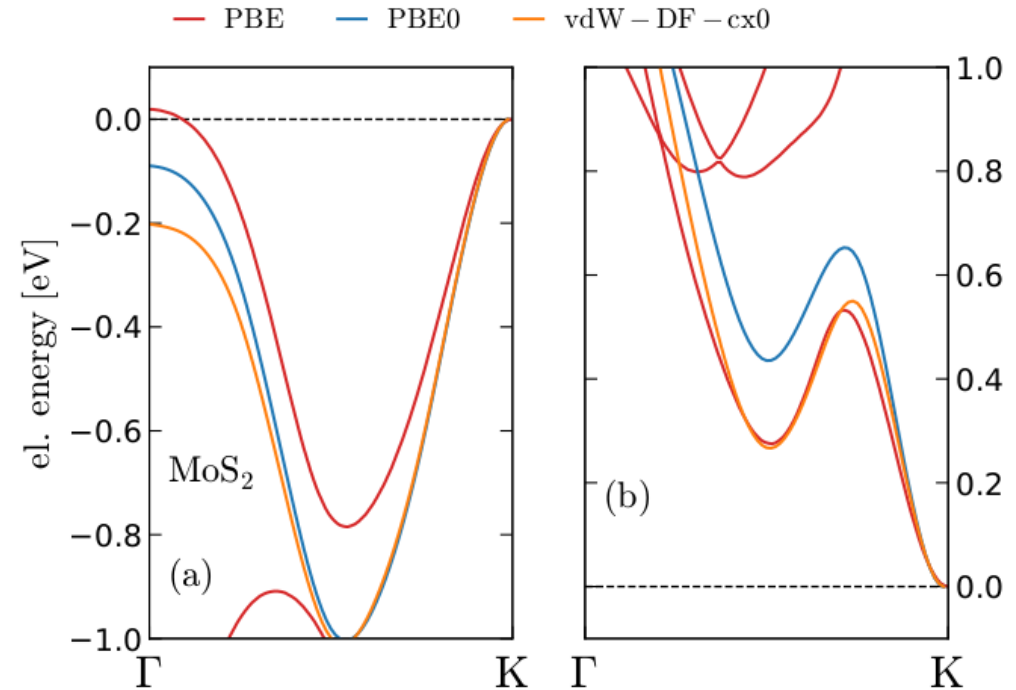
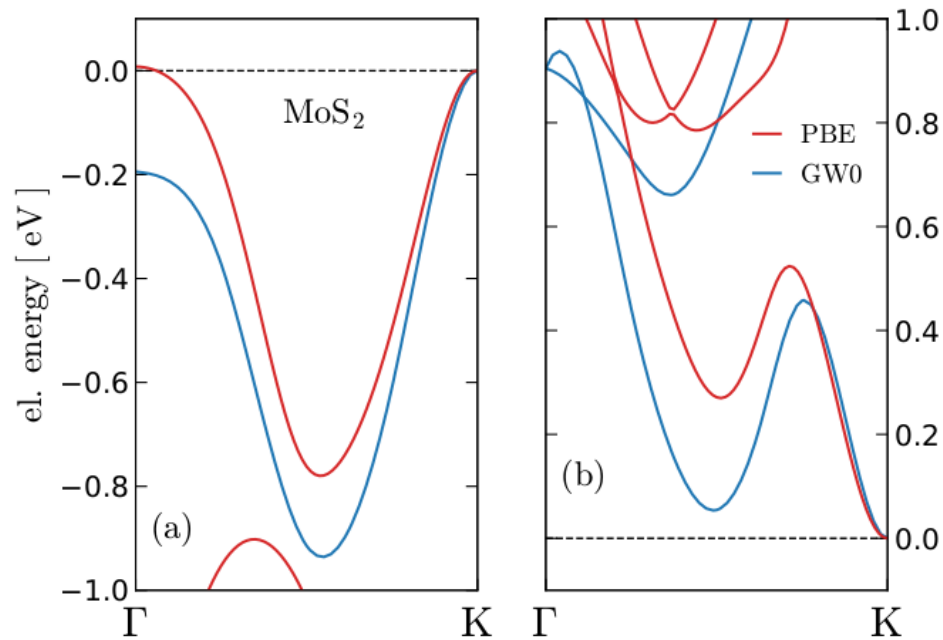
→ multiple valleys in both valence and conduction bands



→ doping-induced **Lifshitz transitions**

Electronic band structure

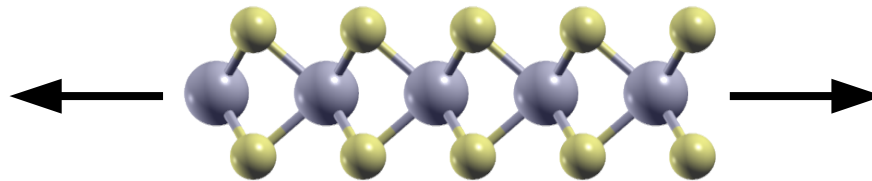
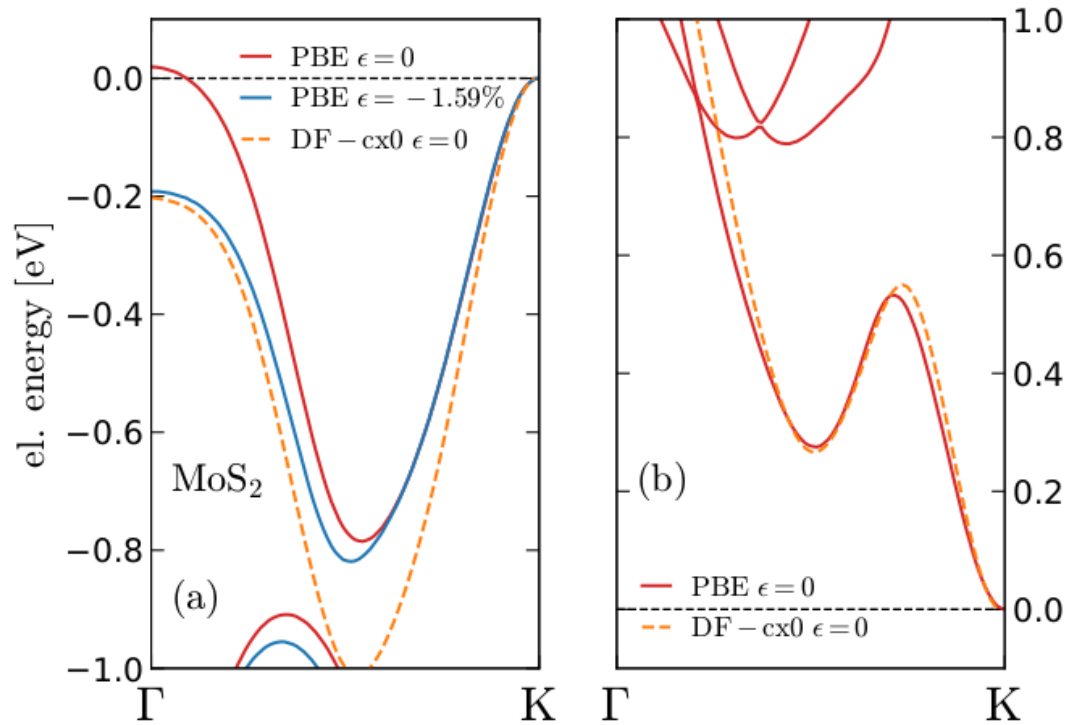
- PBE xc functional fails to reproduce the correct band structure of TMDs – band gap + **topology of valleys**



- importance of **non-local electron-electron interaction** – determines the onset of Lifshitz transition

Electronic band structure

→ PBE with strain in order to get the **right topology of valleys**



Raman spectra

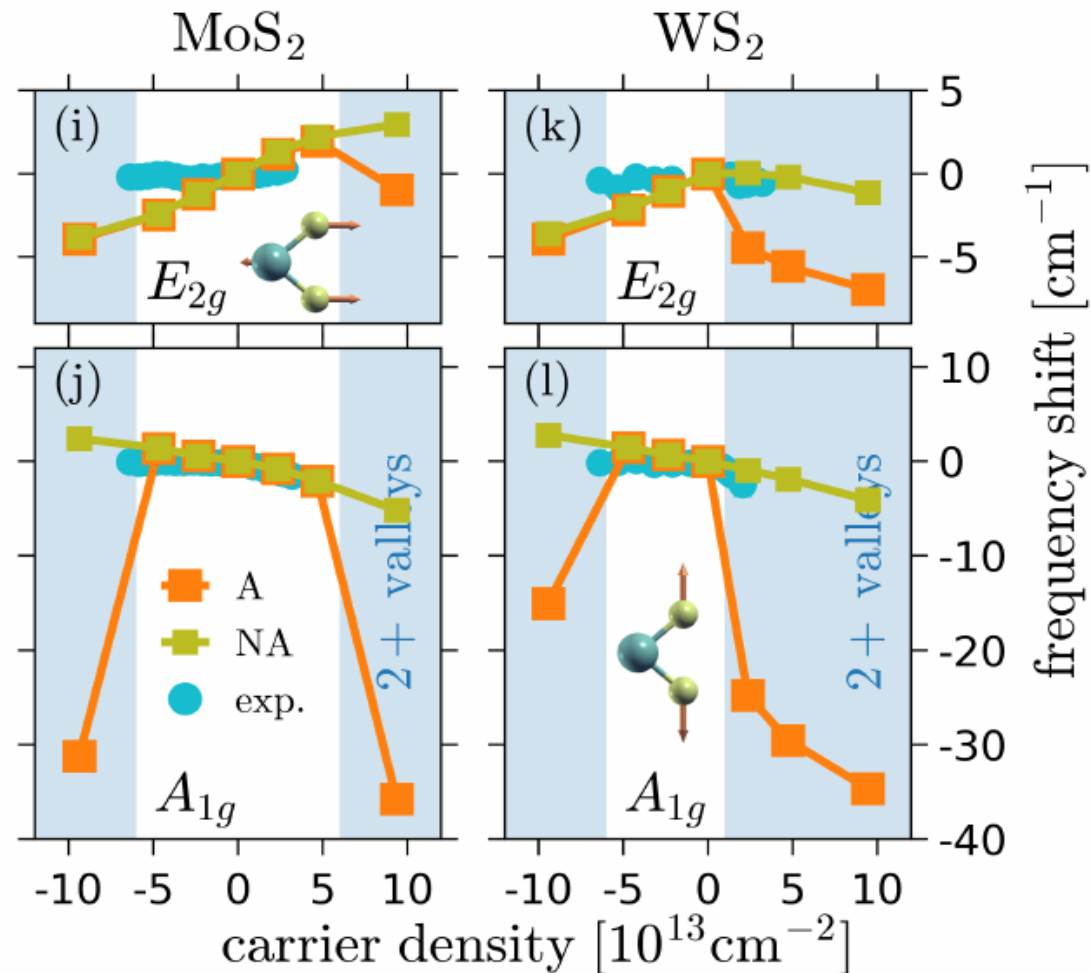
→ Frequency shifts of A_{1g} and E_{2g} phonon modes as a function doping

→ **strong NA renormalization of frequencies** when both valleys are partially occupied/empty – $\Delta\omega = 30 \text{ cm}^{-1}$

→ 2 regimes:

(i) **adiabatic** region where only 1 valence/conduction band is slightly empty/filled

(ii) **nonadiabatic** region where 2 valleys intersect the Fermi energy



exp. : T. Sohler *et al.*, Phys. Rev. X **9**, 031019 (2019)

NA effects – comparison

→ Strength of the NA effects in comparison to other systems

System	$\Delta\omega_{\text{ph}}/\omega_{\text{ph}}$
TMDs FET	8%
Graphene FET	3%
Graphite Intercalation compounds (LiC ₆)	16%
MgB ₂	46%

2D

3D

Graphene : M. Lazzeri and F. Mauri, PRL 97 266407 (2006)

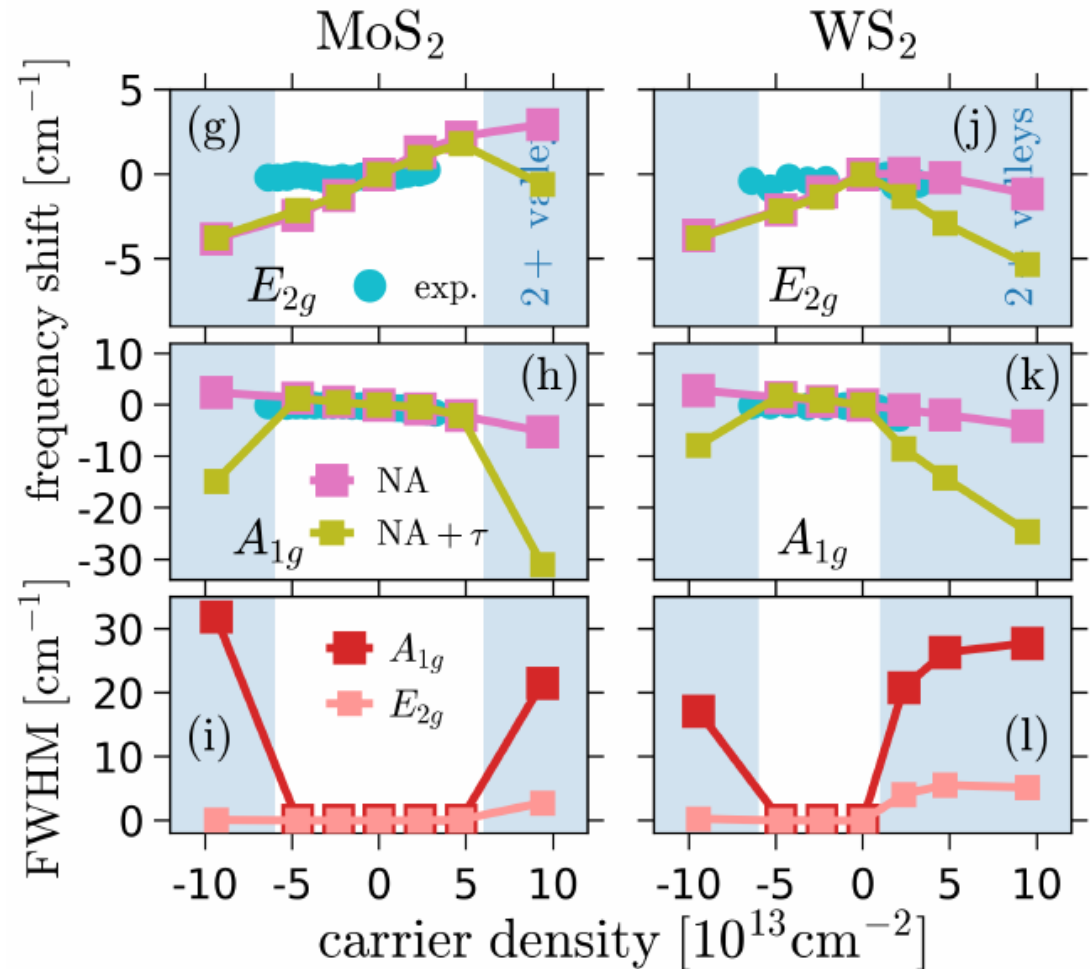
GIC : A. M. Saitta et al., PRL 100 226401 (2008)

Raman spectra

→ Frequency shifts of A_{1g} and E_{2g} phonon modes as a function doping

→ **Strong NA + strong e-h scattering (due to el-ph coupling)** when both valleys are partially occupied/empty

$$\pi_v^{\text{intra}}(\omega) = \sum_{\mu\mathbf{k}} |g_v^{\mu\mu}(\mathbf{k}, 0)|^2 \left[-\frac{\partial f(\varepsilon_{\mu\mathbf{k}})}{\partial \varepsilon_{\mu\mathbf{k}}} \right] \times \frac{\omega}{\omega[1 + \lambda_n(\omega)] + i/\tau_n(\omega)}$$

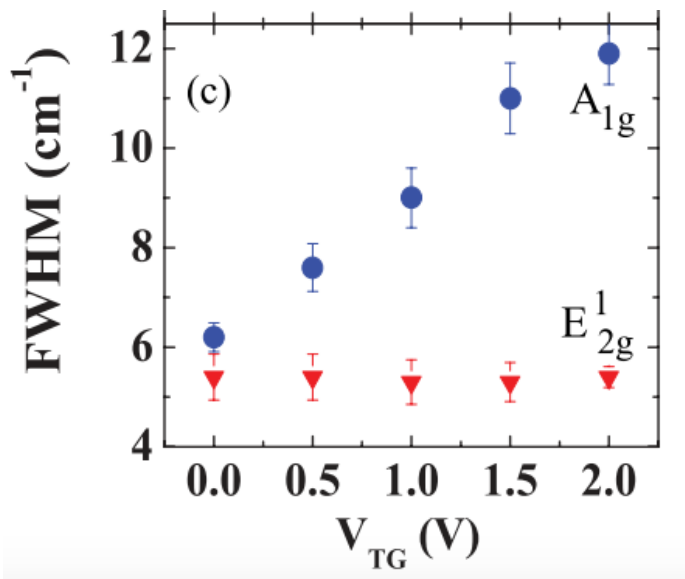


exp. : T. Sohler *et al.*, Phys. Rev. X **9**, 031019 (2019)

Raman spectra

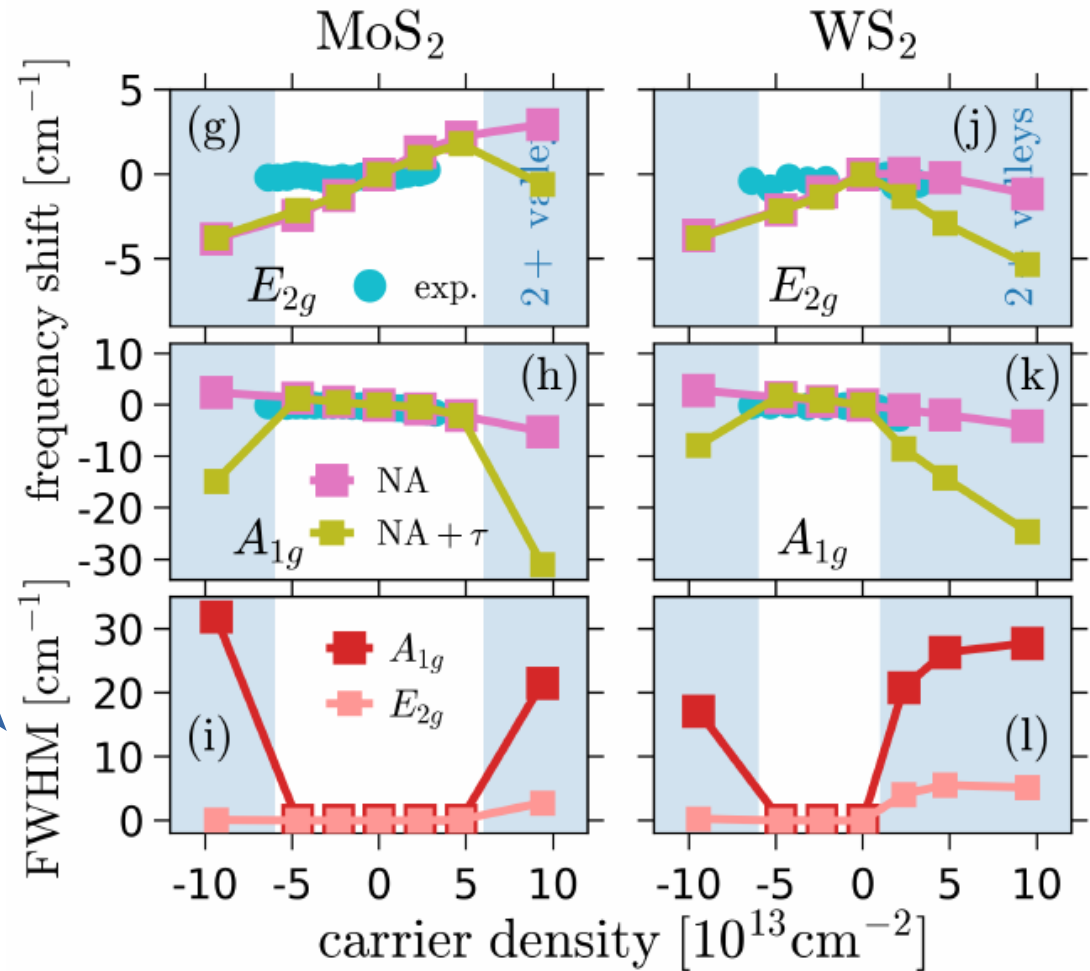
→ Frequency shifts of A_{1g} and E_{2g} phonon modes as a function doping

→ strong e-h scattering due to el-ph coupling – induces phonon broadening



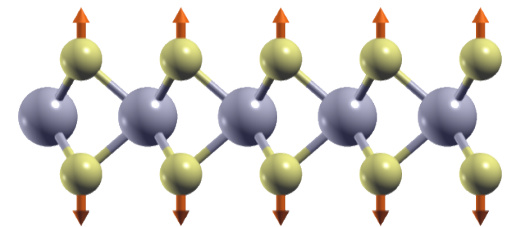
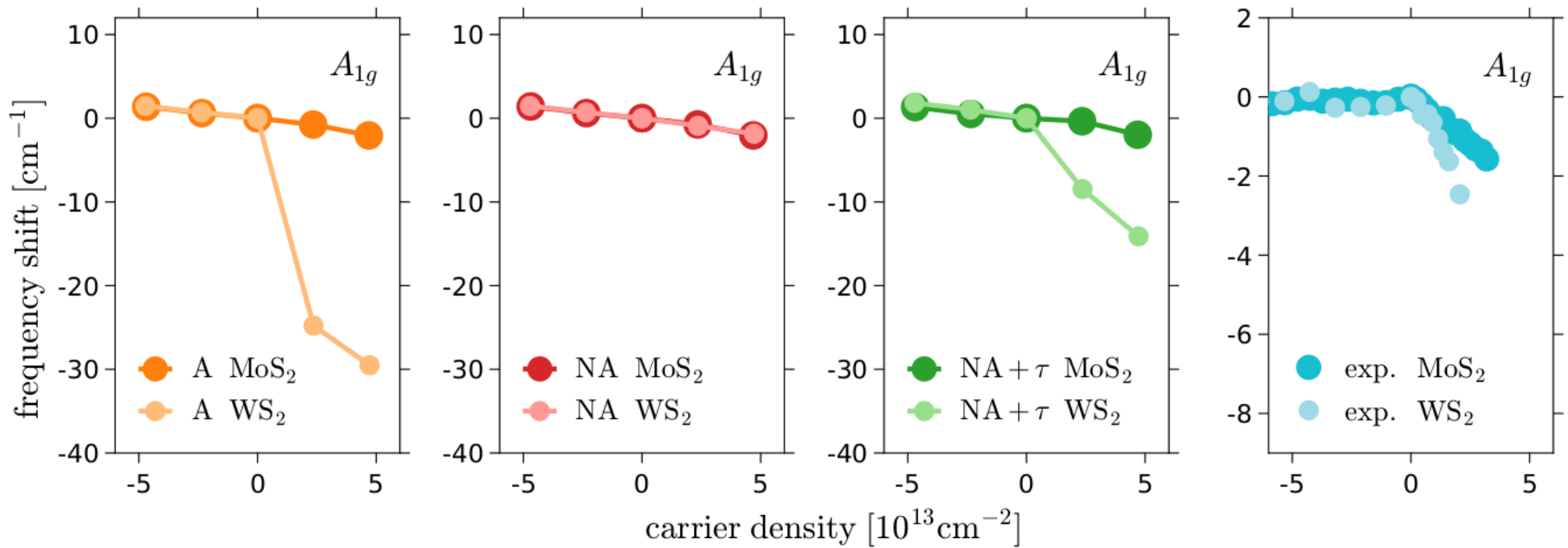
B. Chakraborty *et al.*, PRB **85**, 161403(R) (2012)

→ $\text{FWHM}(A_{1g}) \gg \text{FWHM}(E_{2g})$



Raman spectra

→ Summary – the effect of **nonadiabatic** el-ph coupling



Raman spectra - summary

→ 2 regimes:

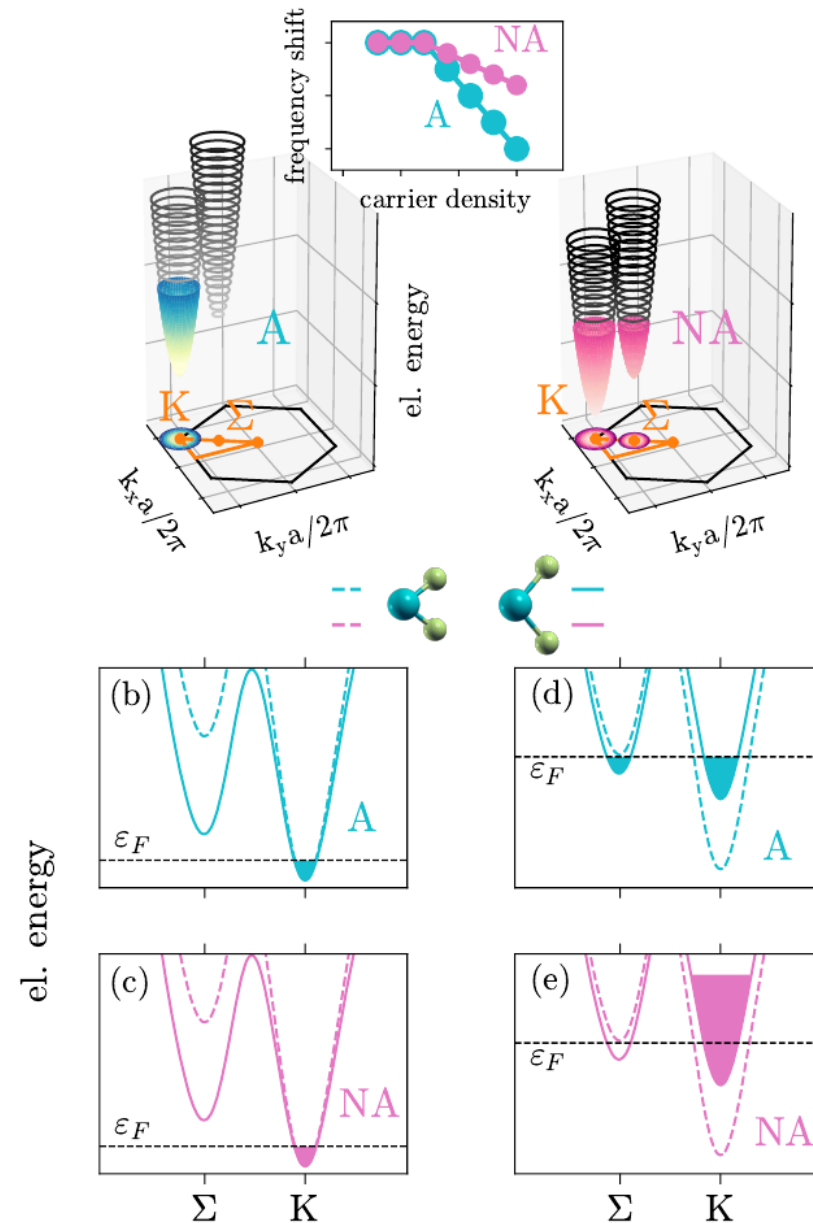
(i) adiabatic regime – one valley crosses Fermi level

(ii) nonadiabatic regime – multiple valleys cross Fermi level

→ el. bands under A_{1g} displacement:

(i) adiabatic regime – both A and NA approximations give same results

(ii) nonadiabatic regime – significantly different results for A and NA approximations



Conclusions

→ Theoretical description of equilibrium and ultrafast time-resolved vibrational spectroscopy (3TM + MBPT)

Part I

→ Ultrafast Raman spectroscopy of the hot E_{2g} mode in MgB_2

Part II

→ Breakdown of adiabaticity in single-layer doped TMDs

Collaborations:

MgB₂ dynamics

Emmanuele Cappelluti

Fabio Caruso

Claudia Draxl

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THANK YOU FOR YOUR ATTENTION!